



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/750,986  
Source: O/AE  
Date Processed by STIC: 1/23/2001

**THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.**

**PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:**

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

**FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.**

**FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.**

**PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)**

**PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)**

**TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:**

### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO).

Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

**Checker Version 3.0 can be down loaded from the USPTO website at the following address:**

**<http://www.uspto.gov/web/offices/pac/checker>**

# Raw Sequence Listing Error Summary

## ERROR DETECTED SUGGESTED CORRECTION

SERIAL NUMBER: 09/750,986

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1        Wrapped Nucleics      The number/text at the end of each line "wrapped" down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 2        Wrapped Aminos      The amino acid number/text at the end of each line "wrapped " down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 3        Incorrect Line Length      The rules require that a line not exceed 72 characters in length. This includes spaces.
- 4        Misaligned Amino Acid      The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs  
Numbering      between the numbering. It is recommended to delete any tabs and use spacing between the numbers.
- 5        Non-ASCII      This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.  
Please ensure your subsequent submission is saved in ASCII text so that it can be processed.
- 6        Variable Length      Sequence(s)        contain n's or Xaa's which represented more than one residue.  
As per the rules, each n or Xaa can only represent a single residue.  
Please present the maximum number of each residue having variable length and  
indicate in the (ix) feature section that some may be missing.
- 7        PatentIn ver. 2.0 "bug"      A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid  
sequence(s)       . Normally, PatentIn would automatically generate this section from the  
previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section  
to the subsequent amino acid sequence. This applies primarily to the mandatory <220>-<223>  
sections for Artificial or Unknown sequences.
- 8        Skipped Sequences      Sequence(s)        missing. If intentional, please use the following format for each skipped sequence:  
(OLD RULES)      (2) INFORMATION FOR SEQ ID NO:X:  
                         (i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS")  
                         (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X:  
                         This sequence is intentionally skipped  
  
Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).
- 9        Skipped Sequences      Sequence(s)        missing. If intentional, please use the following format for each skipped sequence.  
(NEW RULES)      <210> sequence id number  
                         <400> sequence id number  
                         000
- 10        Use of n's or Xaa's      Use of n's and/or Xaa's have been detected in the Sequence Listing.  
(NEW RULES)      Use of <220> to <223> is MANDATORY if n's or Xaa's are present.  
                         In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 11        Use of <213>Organism      Sequence(s)        are missing this mandatory field or its response.  
(NEW RULES)
- 12        Use of <220>Feature      Sequence(s)        are missing the <220>Feature and associated headings  
(NEW RULES)      Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown"  
                         Please explain source of genetic material in <220> to <223> section.  
                         (See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)
- 13        PatentIn ver. 2.0 "bug"      Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted  
                         file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing).  
                         Instead, please use "File Manager" or any other means to copy file to floppy disk.

OIPE

RAW SEQUENCE LISTING  
 PATENT APPLICATION: US/09/750,986  
 DATE: 01/23/2001  
 TIME: 10:18:06

Input Set : A:\B-99981.txt  
 Output Set: N:\CRF3\01232001\I750986.raw

**Does Not Comply  
 Corrected Diskette Needed**

3 <110> APPLICANT: Steinbuchel, Alexander  
 4 Priefert, Horst  
 5 Rabenhorst, Jurgen  
 7 <120> TITLE OF INVENTION: SYNTHETIC ENZYMES FOR THE PRODUCTION OF CONIFERYL  
 8 ALCOHOL, CONIFERYLALDEHYDE, FERULIC ACID, VANILLIN AND  
 9 VANILLIC ACID AND THEIR USE  
 11 <130> FILE REFERENCE: Bayer-9998-CAO  
 13 <140> CURRENT APPLICATION NUMBER: US/09/750,986  
 14 <141> CURRENT FILING DATE: 2000-12-28  
 16 <150> PRIOR APPLICATION NUMBER: 196 49 655.1 GERMANY  
 17 <151> PRIOR FILING DATE: 1996-11-29  
 19 <160> NUMBER OF SEQ ID NOS: 45  
 21 <170> SOFTWARE: PatentIn Ver. 2.1

*Suggestion: Consult  
new sequence  
 Rules*

# ERRORED SEQUENCES

4938 <210> SEQ ID NO: 45  
 4939 <211> LENGTH: 20  
 4940 <212> TYPE: PRT  
 4941 <213> ORGANISM: not required under old rule  
 4943 <220> FEATURE:  
 4944 <221> NAME/KEY: UNSURE  
 4945 <222> LOCATION: (20)  
 4947 <400> SEQUENCE: 45  
 4948 Ser Ile Leu Gly Leu Asn Gly Ala Pro Val Gly Ala Glu Gln Leu Gly  
 4949 1 5 10 15  
 4951 Ser Ala Leu Xaa  
 4952 20  
 E--> 4955 109

*Global  
 invalid response.*

*Per 1.823 of new Sequence Rules,  
 when using new Sequence Rules  
 format (this sequence listing is  
 in new format),  
 <213> explanation is  
 MANDATORY. The  
 only valid <213> responses  
 are: Unknown,*

*Artificial Sequence,  
 or scientific name  
 (genus/species)*

*(one of the three)*

*(see circled portion of  
 item 12 on Error summary  
 sheet)*

*delete at end of file*

## VERIFICATION SUMMARY

DATE: 01/23/2001

PATENT APPLICATION: US/09/750,986

TIME: 10:18:08

Input Set : A:\B-99981.txt

Output Set: N:\CRF3\01232001\I750986.raw

L:13 M:270 C: Current Application Number differs, Replaced Current Application Number  
L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:4931 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:44  
L:4931 M:340 W: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:44  
L:4934 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:44  
M:340 Repeated in SeqNo-44  
L:4951 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:45  
L:4951 M:340 W: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:45  
L:4955 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:45